

Form PTO-1595

# **RECORDATION FORM COVER SHEET** **PATENTS ONLY**

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1-31-92

Patent and Trademark Office

To the Commissioner of Patents and Trademarks: **Please record the attached original documents or copy thereof.**

1. Name of conveying party(ies):

**Juan Jose Legarda Ibanez**

Additional name(s) of conveying party(ies) attached?

Yes ☐ No ☒

2. Name and address of receiving party(ies):

**Hythiam, Inc.**

Street Address:

**11111 Santa Monica Blvd., Suite 550**

City / State:

**Los Angeles, CA 90025, USA**

Additional name(s) of receiving party(ies) attached?

Yes ☐ No ☒

3. Nature of conveyance:

☒ Assignment      ☐ Security Agreement  
☐ Merger      ☐ Change of Name

Execution Date: \_\_\_\_\_

4. Application number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**10/621,229, filed July 15, 2003**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Carol A. Schneider**  
 Address: **Irell & Manella LLP**  
**1800 Avenue of the Stars**  
**Suite 900**  
 City: **Los Angeles**  
 State: **CA**  
 Zip: **90067**

6. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00
☐ Enclosed  
☒ Authorized to charge to deposit account
8. Deposit account number: **09-0946**

## **DO NOT USE THIS SPACE**

9. **Statement and signature:** To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Carol A. Schneider**

Name of person signing



Signature

**December 12, 2003**

Date

Total number pages including cover sheet, attachments, and documents: 3

## ASSIGNMENT

**WHEREAS, Juan Jose Legarda Ibañez**, a citizen of Spain, residing at Avenida Fuentelarreina 8, 28035 Madrid, Spain, (ASSIGNOR) made an invention disclosed and claimed in an Application for United States Letters Patent executed by the foregoing entitled **"USE OF FLUMAZENIL IN THE PRODUCTION OF A DRUG FOR THE TREATMENT OF ALCOHOL DEPENDENCY"** and filed with the United States and Trademark Office on July 15, 2003 and assigned U.S. Patent Application Serial No. 10/621,229; and

**WHEREAS, HYTHIAM, INC.**, a corporation organized and existing under and by virtue of the laws of the state of Delaware, and having a principal place of business located at 11111 Santa Monica Blvd., Suite 550, Los Angeles, CA 90025, USA, (ASSIGNEE) is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Letters Patent or similar legal protection to be obtained therefor worldwide except for the country of Spain;

**NOW THEREFORE**, for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, ASSIGNOR hereby sells, assigns, transfers and sets over unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but also worldwide except for in the country of Spain, and to any renewals, reissues, extensions, substitutions, and continuations or divisions thereof or any legal equivalent thereof, for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Agreement;

ASSIGNOR hereby covenants that no assignment, sale agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any legal proceedings, including but not limited to any opposition, interference or litigation related thereto, and will promptly execute and deliver to ASSIGNEE or its legal representative or assigns any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents worldwide except in the country of Spain, which may be necessary or desirable to carry out the purposes thereof.



DECLARATION  
Utility Application

As a below named inventor, I hereby declare that:

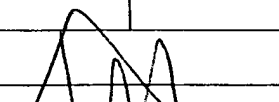
My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **USE OF FLUMAZENIL IN THE PRODUCTION OF A DRUG FOR THE TREATMENT OF ALCOHOL DEPENDENCY**, the specification of which was filed on **July 15, 2003** as United States Application Serial No. **10/621,229**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Claimed	
			Yes	No
PCT/ES02/00008	PCT	January 10, 2002	X	
P 200100106	ES	January 17, 2001	X	

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201	FULL NAME OF INVENTOR	FIRST Name <b>Juan</b>	MIDDLE Initial <b>Jose</b>	LAST Name <b>LEGARDA IBAÑEZ</b>	
	RESIDENCE & CITIZENSHIP	City <b>Madrid</b>	State or Foreign Country <b>Spain</b>		Country of Citizenship <b>Spain</b>
	POST OFFICE ADDRESS	<b>Avenida Fuentelarreina 8</b>	City <b>28035 Madrid</b>	State or Country <b>Spain</b>	Zip Code <b>28035</b>
INVENTOR'S SIGNATURE 			DATE <b>10/11/03</b>		

**POWER OF ATTORNEY**  
**By Assignee**

**Hythiam, Inc.**, assignee(s) of the application for United States Letters Patent for an improvement in

**USE OF FLUMAZENIL IN THE PRODUCTION OF A  
DRUG FOR THE TREATMENT OF ALCOHOL DEPENDENCY**

by **Juan Jose Legarda Ibañez**,

the specification of which:

☐ is filed herewith, OR  
☒ was filed on July 15, 2003, having U.S. Patent Application Serial No. 10/621,229

does hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified application, all of the registered practitioners identified by Customer Number 22249:



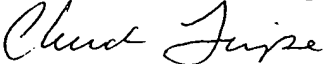
IRELL & MANELLA LLP  
1800 Avenue of the Stars  
Suite 900  
Los Angeles, CA 90067  
(310) 277-1010

Please send all inquiries to **Carol A. Schneider** at the above Customer Number.

I, the undersigned, declare that I have reviewed copies of the documentary evidence establishing chain of title to the patent application identified above from the inventor(s) to the assignee(s), which:

☒ is filed for recordation herewith; or  
☐ was recorded at Reel \_\_\_\_\_, Frame \_\_\_\_\_; or  
☐ has been sent for recordation under separate cover, copy attached herewith.

To the best of the undersigned's knowledge and belief, title is in the assignee(s) identified above. Furthermore, the undersigned is empowered to sign this document on behalf of the assignee(s).

Full Name of Assignee:	Hythiam, Inc.	
Post Office Address:	11111 Santa Monica Blvd., Suite 550, Los Angeles, CA 90025	
Signature of Declarant or Assignee:	Date: November 5, 2003	
		
Full Name of Declarant If Other Than Assignee:	Chuck Timpe	
Title of Declarant:	Chief Financial Officer	
Address of Declarant:	11111 Santa Monica Blvd., Suite 550, Los Angeles, CA 90025	